

Problem of definition: Jordan vs Einstein frame, beyond slow-roll

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collaboration work with Jonathan White

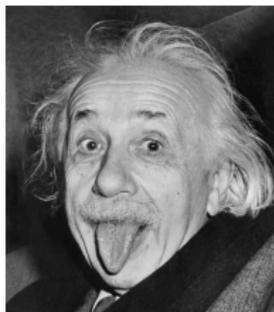
[[arXiv:1509.xxxxx](#)]



APCTP
Asia Pacific Center for Theoretical Physics

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APCTP-TUS joint workshop on Dark Energy

Einstein's General Relativity



- In Einstein gravity, it is assumed matter is minimally coupled to gravity

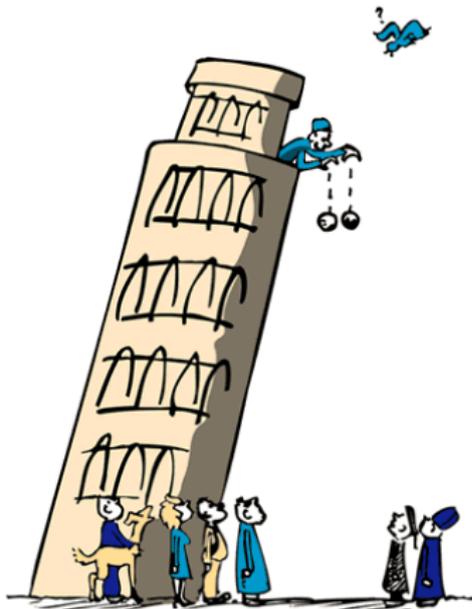
$$S = \int d^4x \sqrt{-g} (M_p^2 R/2) + S_m[g_{\mu\nu}, \phi]$$

- R = Ricci Scalar, S_m = matter action
- For example, single scalar field ϕ

$$S_m = \int d^4x \sqrt{-g} \left[-\frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + V(\phi) \right]$$

Einstein's General Relativity (con't)

- Strong/weak equivalence principle
- Strong: *The gravitational motion of a small test body depends only on its initial position in spacetime and velocity, and not on its constitution.*



Non-minimal Coupled Models

- Can we violate the equivalence principle? Yes

Non-minimal Coupled Models

- Can we violate the equivalence principle? Yes
- Example, introducing non-minimal coupling to gravity

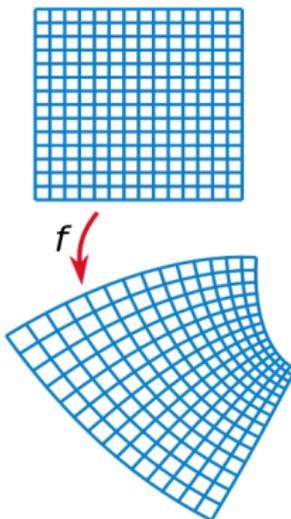
$$S_J = \int d^4x \sqrt{-g} (f(\psi) M_{\text{p}}^2 R/2) + S_m[g_{\mu\nu}, \psi]$$

- generic in modified gravity and unified theories, such as string theory, $f(R)$, Chameleons, TeVeS...
- conformally related to

$$S_E = \int d^4x \sqrt{-g_E} (M_{\text{p}}^2 \tilde{R}/2) + \tilde{S}_m[(g_{\mu\nu})_E, \psi]$$

- by the conformal transformation $g_{\mu\nu} \rightarrow (g_{\mu\nu})_E = f(\psi) g_{\mu\nu}$
- They are mathematically equivalent
- Question: But are they physically equivalent?

Physics should be frame independent!



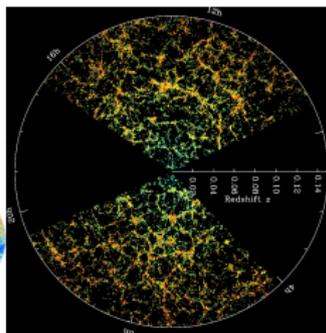
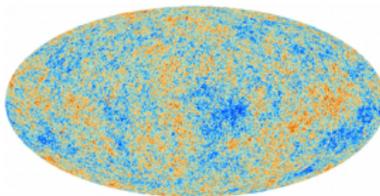
- Conformal transformation = field redefinition
- More precisely, conformal transformation = change of scale
- 1 meter is only meaningful with respect to a reference scale

But...

- In cosmology, density fluctuations are usually quantified in terms of ζ q

$$\zeta \equiv -\varphi + \frac{H\delta\rho}{\dot{\rho}}$$

- can be defined in both conformal frames, where ρ is the effective energy density from $G_{\mu\nu} = T_{\mu\nu}/M_{\text{P}}^2$
- For instance

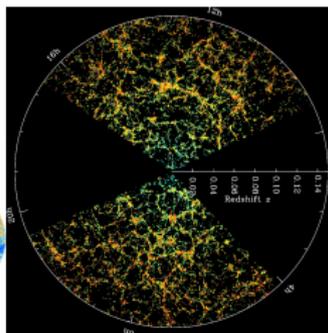
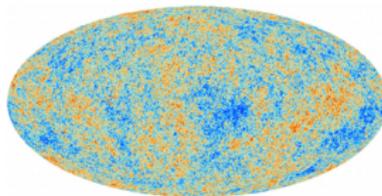


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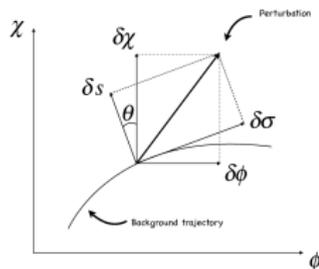
- dimensionless and gauge invariant, but *not frame independent* as we will see...

Aside: Isocurvature perturbation

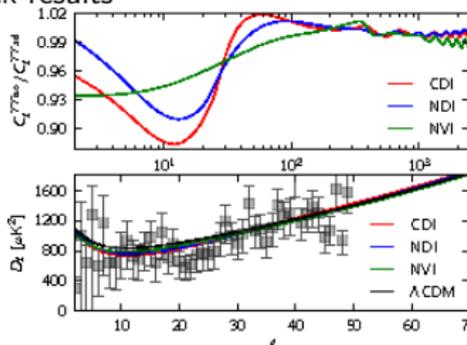
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Aside: Isocurvature perturbation

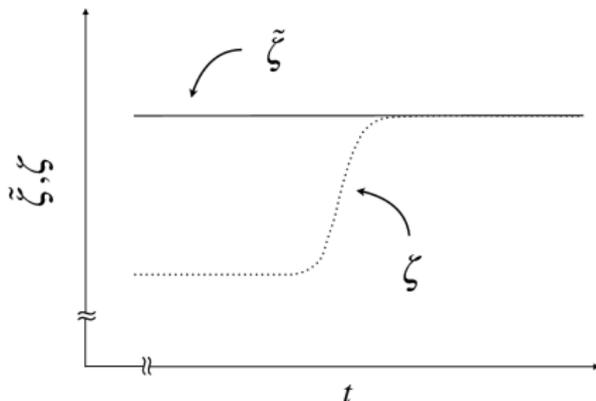
- Perturbation is purely adiabatic if $\delta P = \frac{\dot{P}}{\rho} \delta \rho$. Not always true though...
- Entropic/isocurvature perturbations
 = perturbations \perp background trajectory, natural in multifield inflation models



- some hints in 2013 Planck results



Inequivalence of ζ in Einstein and Jordan frames



- It was found that ζ is frame-dependent in the presence of isocurvature perturbation [White et al. 12, arXiv:1205.0656], [Chiba and Yamaguchi 13]
- reason: isocurvature perturbation is frame-dependent (artificial)

Inequivalence of ζ in Einstein and Jordan frames

- Examples, in multifield models

$$\zeta - \tilde{\zeta} \approx \mathcal{A}_{JK} \mathcal{K}^{JK} + \mathcal{B}_{JK} \dot{\mathcal{K}}^{JK}, \quad \mathcal{K}^{JK} \equiv \delta\phi^J \dot{\phi}^K - \delta\phi^K \dot{\phi}^J$$

where

$$\mathcal{A}_{JK} = \frac{1}{\mathcal{C}} \left\{ \left[\left(\frac{G_{PQ} \dot{\phi}^P \dot{\phi}^Q + 2(\ddot{f} - H\dot{f})}{2f} - 2H^2 \right) f_K G_{IJ} + 2H \dot{\phi}^L f_{KL} G_{IJ} - 2H \dot{f} G_{IJ,K} \right] \dot{\phi}^I - 2H f_K G_{IJ} \ddot{\phi}^I \right\},$$

$$\mathcal{B}_{JK} = \frac{2H f_K G_{IJ} \dot{\phi}^I}{\mathcal{C}} \quad \text{and}$$

$$\mathcal{C} = 2\kappa^2 f S_{MN} \dot{\phi}^M \dot{\phi}^N \left(G_{PQ} \dot{\phi}^P \dot{\phi}^Q + 2(\ddot{f} - H\dot{f}) \right).$$

- \mathcal{K}^{JK} is a measure of the isocurvature perturbation
- $\zeta - \tilde{\zeta} \rightarrow 0$ only if isocurvature vanishes in general

Relation between ζ and $\tilde{\zeta}$

- linear and non-linear order
- using the separate universe assumption, we can write ζ and $\tilde{\zeta}$ in terms of the δN formalism [White, Minamitsuji and Sasaki et al.]

$$\begin{aligned}\zeta &= \mathcal{N}_I \delta\phi^I + \mathcal{N}_{IJ} \delta\phi_{\tilde{R}}^I \delta\phi_{\tilde{R}}^J + \\ \tilde{\zeta} &= \tilde{\mathcal{N}}_I \delta\phi^I + \tilde{\mathcal{N}}_{IJ} \delta\phi_{\tilde{R}}^I \delta\phi_{\tilde{R}}^J + \dots\end{aligned}$$

- $\delta\phi_{\tilde{R}}^I$ = flat-gauge field perturbations in **Einstein frame**
- observables can be expressed in terms of δN coefficients, e.g. in Jordan frame

$$n_s - 1 = -2(\tilde{\epsilon}_H)_* - \frac{2}{\mathcal{N}_I \mathcal{N}^I} + \frac{2}{3\tilde{H}_*^2} \frac{\mathcal{N}^K \mathcal{N}^L}{\mathcal{N}_I \mathcal{N}_J S_*^{IJ}} \left[\tilde{\nabla}_K \tilde{\nabla}_L \tilde{V} - \tilde{R}_{KLPQ} \frac{d\phi^P}{d\tilde{t}} \frac{d\phi^Q}{d\tilde{t}} \right]_*$$

$$\mathcal{P}_\zeta = \mathcal{N}^I \mathcal{N}_I \left(\frac{\tilde{H}}{2\pi} \right)_*^2 \quad \text{and} \quad r = \frac{8}{\mathcal{N}^I \mathcal{N}_I}$$

Relation between ζ and $\tilde{\zeta}$ (con't)

- general relation between N and \tilde{N}

$$N = \int_{\mathcal{R}} \mathcal{H} d\eta = \int_{\mathcal{R}} \left(\tilde{\mathcal{H}} - \frac{f'}{2f} \right) d\eta = \tilde{N}(\omega, \mathcal{R}) - \frac{1}{2} \ln \left(\frac{f_\omega}{f_{\mathcal{R}}} \right)$$

- consider a simplified case where $f' \approx 0$ at the time of interest (late time)
- using the δN formalism, the first and second order δN coefficients are related by

$$\mathcal{N}_I \approx \tilde{\mathcal{N}}_I - \left(\frac{1}{2} + c \right) \left(\frac{f_J}{f} \right)_\diamond \left(\frac{\partial \phi_\diamond^J}{\partial \phi_*^I} \right)_\omega$$

$$\mathcal{N}_{IJ} \approx \tilde{\mathcal{N}}_{IJ} - \left(\frac{1}{2} + c \right) \left[\left(\frac{f_{KL}}{f} - \frac{f_K f_L}{f^2} \right)_\diamond \left(\frac{\partial \phi_\diamond^K}{\partial \phi_*^I} \right)_\omega \left(\frac{\partial \phi_\diamond^L}{\partial \phi_*^J} \right)_\omega + \left(\frac{f_K}{f} \right)_\diamond \left(\frac{\partial^2 \phi_\diamond^K}{\partial \phi_*^I \partial \phi_*^J} \right)_\omega \right]$$

- we have assumed $\epsilon_f \equiv |f'/\mathcal{H}f| \ll 1$
- $c \equiv \frac{\tilde{\mathcal{H}}}{\tilde{\rho}'\tilde{\rho}}$, equals to $-1/3$ (matter era) and $-1/4$ (radiation era)
- $\zeta - \tilde{\zeta}$ can be arbitrarily large depending on f , but how about **observables**?

Difference between primordial observables beyond slowroll

- Defining the fractional difference between the power spectra amplitudes and the spectral indices

$$\Delta\mathcal{P}_\zeta \equiv \frac{\mathcal{P}_\zeta - \tilde{\mathcal{P}}_\zeta}{\tilde{\mathcal{P}}_\zeta}$$

- and slightly different definition for n_s and f_{NL}

$$\frac{n_s - 1 + 2(\tilde{\epsilon}_H)_*}{\tilde{n}_s - 1 + 2(\tilde{\epsilon}_H)_*} = (1 + \Delta\mathcal{P}_\zeta)^{-1}(1 + \Delta n_s)$$

$$\frac{f_{\text{NL}}}{\tilde{f}_{\text{NL}}} = (1 + \Delta\mathcal{P}_\zeta)^{-2}(1 + \Delta f_{\text{NL}})$$

- using the asymptotic relation between the δN coefficients, we therefore have

$$\Delta\mathcal{P}_\zeta = - \frac{1}{\tilde{N}_P \tilde{N}^P} \left[(1 + 2c) \left(\frac{f_K}{f} \right)_\diamond \left(\frac{\partial \phi_\diamond^K}{\partial \phi_*^I} \right)_\omega \tilde{N}^I - \left(\frac{1}{2} + c \right)^2 \left(\frac{f_K f_L}{f^2} \right)_\diamond \left(\frac{\partial \phi_\diamond^K}{\partial \phi_*^I} \right)_\omega \left(\frac{\partial \phi_\diamond^L}{\partial \phi_*^J} \right)_\omega S_*^{IJ} \right]$$

- and similarly for Δn_s and Δf_{NL}

Model considered

- we consider the multifield model with the following action in the Jordan frame

$$S_J = \int d^4x \sqrt{-g} \left\{ f(\Phi)R - \frac{1}{2} G_{IJ}(\Phi) g^{\mu\nu} \partial\phi^I \partial\phi^J - V(\Phi) \right\}$$

- or in the Einstein frame

$$S_E = \int d^4x \sqrt{-\tilde{g}} \left\{ \frac{M_p^2 R}{2} - \frac{1}{2} S_{IJ}(\Phi) \tilde{g}^{\mu\nu} \partial\phi^I \partial\phi^J - \tilde{V}(\Phi) \right\}$$

- note that the field space metric and scalar potential are related by

$$S_{IJ} = \frac{M_p^2}{2f} \left(G_{IJ} + 3 \frac{f_I f_J}{f} \right) \quad \text{and} \quad \tilde{V} = \frac{VM_p^4}{4f^2}$$

- after inflation ends, reheating is modeled by adding a friction to the field EOM in **Einstein frame**

$$(\phi^I)'' + \tilde{\Gamma}_{JK}^I (\phi^J)' (\phi^K)' + 2(\tilde{\mathcal{H}} + \tilde{a}^{(I)} \Gamma) (\phi^I)' + \tilde{a}^2 S^{IJ} \tilde{V}_J = 0$$

$$\rho'_{\gamma} + 4\mathcal{H}\rho_{\gamma} = \frac{^{(I)}\Gamma}{\tilde{a}} S_{IJ} (\phi^I)' (\phi^J)'$$

Simple example: Non-minimal coupling $f' = 0$ always

- to illustrate, we consider the class of two-field models
- simple explicit example: $f = f(\chi)$ and χ is a frozen spectator field

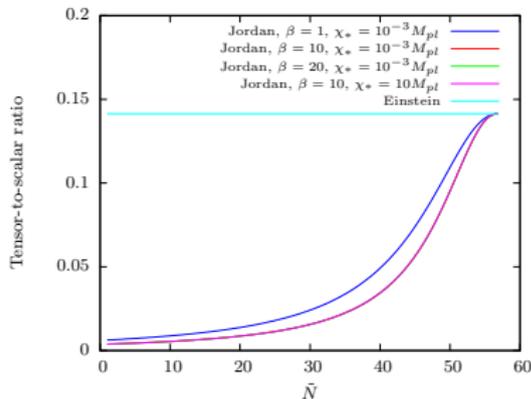
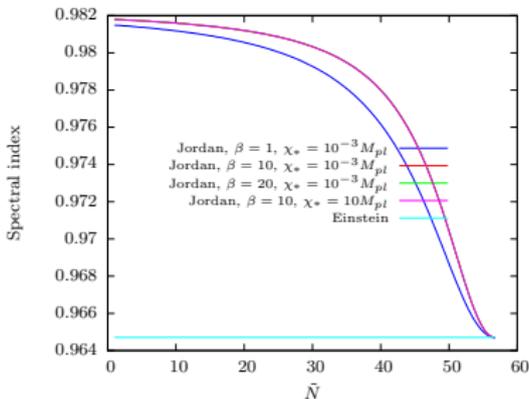
$$\mathcal{S}_{\chi\chi} \equiv \frac{M_p^2}{2f} \left(G_{\chi\chi} + 3 \frac{f_\chi^2}{f} \right) \quad \text{and} \quad \tilde{V} = V(\phi) M_p^2$$

- we further assume $G_{\phi\chi} = 0$ and $G_{\phi\phi} = f$ such that there is no mixing in the kinetic term
- Einstein frame results = simple chaotic inflation

$$\tilde{n}_s - 1 = -6\tilde{\epsilon}_* + 2\tilde{\eta}_*, \quad \tilde{r} = 16\tilde{\epsilon}_*$$

Simple example: Non-minimal coupling $f' = 0$ always (con't)

- results during slow-roll inflationary regime, consistent with previous analytic work



- model choice: $\tilde{V}(\phi) = \frac{1}{2} m^2 \phi^2, 2f/M_p^2 = e^{-\beta\chi/M_p}$ with $\phi_* = 15.0 M_p$
- observables seem coincide at the end of inflation
- however, ζ still evolve in Jordan frame...

Simple example: Non-minimal coupling $f' = 0$ always (con't)

- how about beyond slow-roll, particularly after reheating?

Simple example: Non-minimal coupling $f' = 0$ always (con't)

- how about beyond slow-roll, particularly after reheating?
- for this particular model, since the non-minimal coupled field χ is frozen, things simplify
- the fractional difference

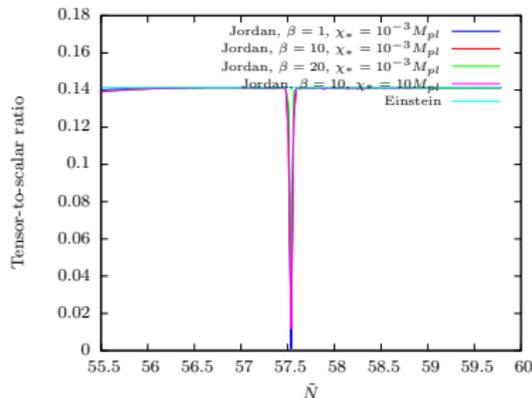
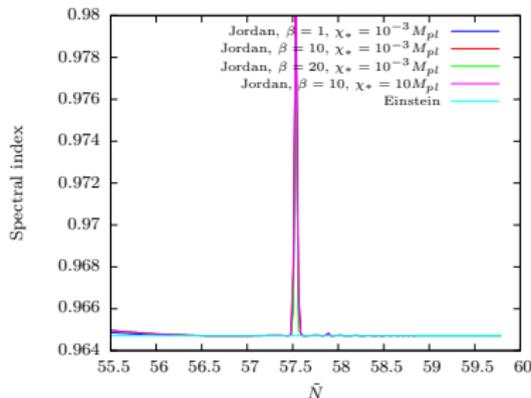
$$\Delta\mathcal{P}_\zeta = \frac{1}{16} \left(\frac{1}{\tilde{N}_\phi^2} \right) \left(\frac{f_\chi}{f} \right)_*^2 S_*^{\chi\chi}$$

$$\frac{\tilde{n}_s - n_s}{n_s} = \Delta\mathcal{P}_\zeta \left[\frac{n_s - 1 + 2(\tilde{\epsilon}_H)_*}{n_s} \right]$$

- difference can only be large if $\Delta\mathcal{P}_\zeta \gg \mathcal{O}(1)$

Simple example: Non-minimal coupling $f' = 0$ always (con't)

- Beyond slow-roll regime



- with reheating parameter $\Gamma_\phi = 0.1(m/\sqrt{2})$
- evolution terminates when $\Omega_\gamma > 0.9999$

Difference is negligible after reheating

- we see the fractional difference between observables are negligible even $\zeta - \tilde{\zeta}$ is large
- why?

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- why? recall

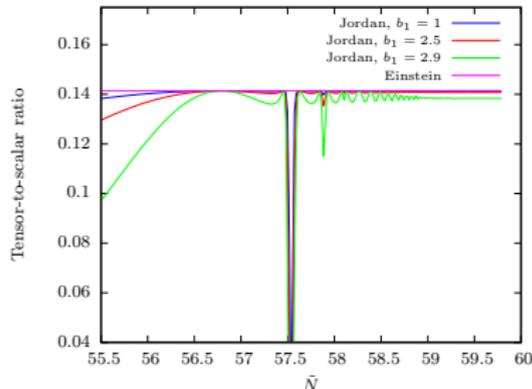
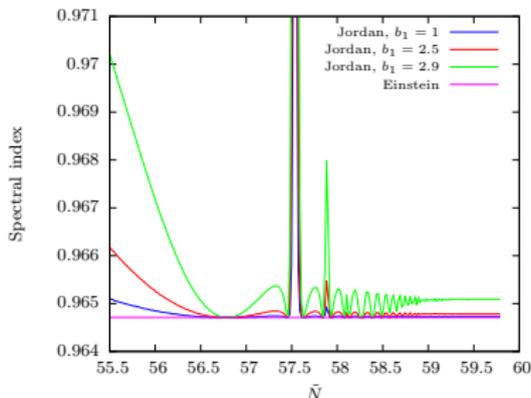
$$\Delta\mathcal{P}_\zeta = -\frac{1}{\tilde{N}_P\tilde{N}^P} \left[(1+2c) \left(\frac{f_K}{f}\right)_\diamond \left(\frac{\partial\phi_\diamond^K}{\partial\phi_*^I}\right)_\omega \tilde{N}^I - \left(\frac{1}{2}+c\right)^2 \left(\frac{f_K f_L}{f^2}\right)_\diamond \left(\frac{\partial\phi_\diamond^K}{\partial\phi_*^I}\right)_\omega \left(\frac{\partial\phi_\diamond^L}{\partial\phi_*^J}\right)_\omega S_*^{IJ} \right]$$

- reason: Einstein frame field space metric S_*^{IJ} also depends on f

$$S_{XX} \equiv \frac{M_p^2}{2f} \left(G_{XX} + 3\frac{f^2_X}{f} \right)$$

Caveat I: negative Jordan frame field space metric

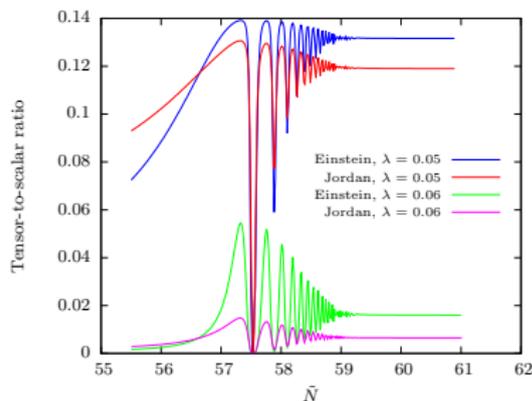
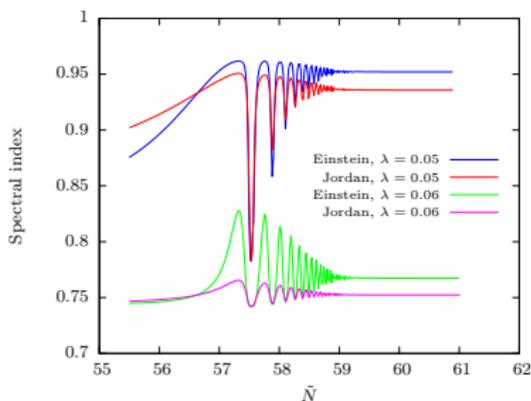
- We may tune $(G_{\chi\chi})_* \rightarrow (f_\chi^2/f)_*$, with $S_{\chi\chi}$ remains positive
- Example: $G_{\chi\chi} = -b_1(f_\chi^2/f)$, $\tilde{V}(\phi) = \frac{1}{2}m^2\phi^2$ and $2f/M_p^2 = e^{-\beta\chi/M_p}$, with $b_1 \leq 3$



- only in the very fine-tuned limit the difference becomes significant

Caveat II: non-frozen f

- more generic case: f evolves
- the model choice: $\tilde{V}(\phi) = \frac{1}{2}m^2\phi^2 \exp(-\lambda\chi^2/M_p^2)$, $\mathcal{S}_{\chi\chi} = \mathcal{S}_{\phi\phi} = 1$ and $2f/M_p^2 = \exp(-0.5\lambda\chi^2/M_p^2)$.
- $\lambda = \{0.05, 0.06\}$, initial conditions $\chi_* = 10^{-3}M_p$ and $\phi_* = 15.0M_p$.



- special case: potential \sim ridge like, initial conditions close to top of the ridge

Discussion and Conclusion

Take home message

- conventional definition of curvature perturbation is a not frame-dependent quantity
- in theory, using the wrong definition can lead to very different results
- e.g. $\zeta - \tilde{\zeta}$ can be arbitrarily large
- however asymptotically the difference between observables are negligible in general after reheating
- possible to realise counter examples, but need fine-tuned initial conditions

Ongoing and Future Directions

- study the correlation between large (local) non-Gaussianity and the fractional difference
- decay rates are generically modulated in non-minimal coupled models even in simple perturbative reheating

$$\Gamma \rightarrow \Gamma(\chi)$$

- At quantum level? see [Steinwachs](#)