

FRACTIONAL SCHRÖDINGER EQUATION WITH THE DERIVATIVE CUBIC NONLINEARITY

NAKAO HAYASHI

We consider the Cauchy problem for the critical derivative fractional nonlinear Schrödinger equation

$$(1) \quad \begin{cases} i\partial_t u - \frac{1}{\alpha} |\partial_x|^\alpha u = i\partial_x (|u|^2 u), & t > 0, x \in \mathbb{R}, \\ u(0, x) = u_0(x), & x \in \mathbb{R}, \end{cases}$$

where $\alpha \in (1, 2)$ and $|\partial_x|^\alpha = (-\partial_x^2)^{\alpha/2}$. Equation (1) with $\alpha = 2$ is the derivative nonlinear Schrödinger equation which was studied extensively, see, e.g., [1], [2]. The exceptional point $\alpha = 1$ corresponds to the so-called derivative half-wave equation.

Notation and Function Spaces. $\mathbf{L}^p = \{\phi \in \mathbf{S}'; \|\phi\|_{\mathbf{L}^p} < \infty\}$ is the usual Lebesgue space with norm $\|\phi\|_{\mathbf{L}^p} = (\int_{\mathbb{R}} |\phi(x)|^p dx)^{\frac{1}{p}}$ for $1 \leq p < \infty$ and $\|\phi\|_{\mathbf{L}^\infty} = \text{ess. sup}_{x \in \mathbb{R}} |\phi(x)|$ for $p = \infty$. The weighted Sobolev space is

$$\mathbf{H}_p^{m,s} = \left\{ \varphi \in \mathbf{S}'; \|\varphi\|_{\mathbf{H}_p^{m,s}} = \|\langle x \rangle^s \langle i\partial_x \rangle^m \varphi\|_{\mathbf{L}^p} < \infty \right\},$$

$m, s \in \mathbb{R}$, $1 \leq p \leq \infty$, $\langle x \rangle = \sqrt{1+x^2}$, $\langle i\partial_x \rangle = \sqrt{1-\partial_x^2}$. We also use the notations $\mathbf{H}^{m,s} = \mathbf{H}_2^{m,s}$, $\mathbf{H}^m = \mathbf{H}^{m,0}$ for simplicity. Let $\mathbf{C}(\mathbf{I}; \mathbf{Y})$ be the space of continuous functions from the time interval $\mathbf{I}$ to a Banach space $\mathbf{Y}$.

The main result of this talk is the following.

Theorem 1. *Let $\alpha \in (1, 2)$. Suppose that the initial data $u_0 \in \mathbf{H}^{3,1} \cap \mathbf{H}^4$ and $x\partial_x u_0 \in \mathbf{H}^{1,1} \cap \mathbf{H}^2$ are such that $\|u_0\|_{\mathbf{H}^{3,1} \cap \mathbf{H}^4} + \|x\partial_x u_0\|_{\mathbf{H}^{1,1} \cap \mathbf{H}^2} \leq \varepsilon$. Then there exists an $\varepsilon > 0$ such that the Cauchy problem (1) has a unique global in time solution $u \in \mathbf{C}([0, \infty); \mathbf{H}^2)$ satisfying $\|u\|_{\mathbf{X}_\infty} \leq C\varepsilon$, where*

$$\begin{aligned} \|u\|_{\mathbf{X}_\infty} = & \sup_{t \in [0, \infty)} \left\| \langle \xi \rangle^{\frac{3}{2}} \widehat{\varphi} \right\|_{\mathbf{L}^\infty} \\ & + \sup_{t \in [0, \infty)} \langle t \rangle^{-\gamma} \left(\left\| \langle \xi \rangle^2 \widehat{\varphi} \right\|_{\mathbf{L}^2} + \left\| \langle \xi \rangle \widehat{\mathcal{P}} \widehat{\varphi} \right\|_{\mathbf{L}^2} + \right. \\ & \left. \left\| \langle \xi \rangle^2 \partial_\xi \widehat{\varphi} \right\|_{\mathbf{L}^2} + \left\| \langle \xi \rangle \partial_\xi \widehat{\mathcal{P}} \widehat{\varphi} \right\|_{\mathbf{L}^2} \right), \end{aligned}$$

$\widehat{\varphi} = \mathcal{F}\mathcal{U}(-t)u(t)$, $\widehat{\mathcal{P}} = \alpha t \partial_t - \xi \partial_\xi$ and $\gamma > 0$ is small depending on the size of the data. Moreover for any u_0 such that $\|u_0\|_{\mathbf{H}^{3,1} \cap \mathbf{H}^4} + \|x\partial_x u_0\|_{\mathbf{H}^{1,1} \cap \mathbf{H}^2} \leq \varepsilon$, there

This is a joint work with P.I. Naumkin,

exists a unique modified final state $W_+ \in \mathbf{L}^\infty$ and $\delta > 0$ such that the asymptotics

$$\begin{aligned} u(t, x) &= \frac{1}{\sqrt{t}} e^{-it(\frac{1}{\alpha}-1)|\frac{x}{t}|^{\frac{\alpha}{\alpha-1}}} W_+ \left(t^{-\frac{1}{\alpha-1}} \frac{x}{|x|^{\frac{\alpha-2}{\alpha-1}}} \right) \\ &\quad \times \exp \left(it^{-\frac{1}{\alpha-1}} \frac{x}{|x|^{\frac{\alpha-2}{\alpha-1}}} \left| W_+ \left(\frac{x}{|x|^{\frac{\alpha-2}{\alpha-1}}} \right) \right|^2 \log t \right) \\ &\quad + O \left(\varepsilon t^{-\frac{1}{2}-\delta} \right) \end{aligned}$$

is valid for $t \rightarrow \infty$ uniformly with respect to $x \in \mathbb{R}$.

REFERENCES

- [1] Z. Guo, N.Hayashi, Y. Lin and P. Naumkin, *Modified scattering operator for the derivative nonlinear Schrödinger equation*, SIAM J. Math. Anal., **45** (2013), No. 6, pp. 3854-3871.
- [2] N.Hayashi and T.Ozawa, *Modified wave operators for the derivative nonlinear Schrödinger equations*, Math. Annalen, **298** (1994), pp. 557-576.

RESEARCH INSTITUTE FOR SCIENCE AND ENGINEERING, WASEDA UNIVERSITY, TOKYO, 169-8555, JAPAN

E-mail address: nakao.hayashi@aoni.waseda.jp