

# On thermal convection equations of Oberbeck-Boussinesq type with the dissipation function

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In this presentation we consider the stability of the motionless state and the bifurcation problem in the Rayleigh-Bénard convection problem applying a model equation of the Oberbeck-Boussinesq type including the dissipation function.

The Oberbeck-Boussinesq equation is often used as a simplified model equation for the thermomechanical response of linear viscous fluids undergoing isochoric motions in isothermal processes but not necessarily isochoric ones in non-isothermal processes. Its justification from the point of view of continuum mechanics was given by Rajagopal, Růžička and Srinivasa [1] and there is no doubt concerning the usefulness of the Oberbeck-Boussinesq equation. However, there are some phenomena such as the earth's upper mantle convection, convection in fast rotating configurations and etc., in which the Oberbeck-Boussinesq equation seems inappropriate due to the fact that the effect of dissipative heating is not taken into account in the equation. It is thus desirable to derive a model equation including the effect of the dissipative heating.

In [2] we derived a model system of equations including the effect of dissipative heating and investigated the derived system in the context of the Rayleigh-Bénard convection. The system derived in [2] takes the form

$$\begin{aligned}\operatorname{div} \mathbf{v} &= 0, \\ \varepsilon^3(\partial_t \mathbf{v} + \mathbf{v} \cdot \nabla \mathbf{v} - \frac{1}{\sqrt{\operatorname{Gr}}} \Delta \mathbf{v}) + \nabla p &= (1 - \varepsilon^3 \theta) \mathbf{b}, \\ \partial_t \theta + \mathbf{v} \cdot \nabla \theta - \zeta \left( \theta + \frac{\theta^b + \theta^t}{2(\theta^b - \theta^t)} \right) \mathbf{v} \cdot \mathbf{b} - \frac{1}{\operatorname{Pr} \sqrt{\operatorname{Gr}}} \Delta \theta &= \frac{2\zeta}{\sqrt{\operatorname{Gr}}} \mathbf{D}(\mathbf{v}) \cdot \mathbf{D}(\mathbf{v}).\end{aligned}\tag{1}$$

Here  $\mathbf{v} = (v_1, v_2, v_3)$  is the velocity field;  $p$  is the pressure;  $\theta$  is the temperature;  $\mathbf{b} = (0, 0, -1)$ ;  $\operatorname{Gr}$ ,  $\operatorname{Pr}$  and  $\zeta$  are positive physical parameters called the Grashof, Prandtl and dissipation numbers, respectively; and  $\varepsilon > 0$  is a

small non-dimensional parameter.  $\theta^t$  denotes the temperature at the upper boundary and  $\theta^b$  at the lower boundary. The function  $2\mathbf{D}(\mathbf{v}) \cdot \mathbf{D}(\mathbf{v})$  denotes the dissipation function :  $2\mathbf{D}(\mathbf{v}) \cdot \mathbf{D}(\mathbf{v}) = \frac{1}{2} \sum_{i,j=1}^3 (\partial_{x_j} v_i + \partial_{x_i} v_j)^2$ .

As a first step of the mathematical analysis of (1), we consider the stability of the motionless state in the Rayleigh-Bénard convection. After a suitable change of variables we have the following system in the infinite layer  $\{(x_1, x_2, x_3) \in \mathbf{R}^3 ; 0 < x_3 < 1\}$  :

$$\begin{aligned} \operatorname{div} \mathbf{v} &= 0, \\ \partial_t \mathbf{v} - \Delta \mathbf{v} - \lambda \theta \mathbf{e}_3 + \nabla p + \mathbf{v} \cdot \nabla \mathbf{v} &= 0, \\ \partial_t \theta - \frac{1}{\operatorname{Pr}} \Delta \theta - \frac{\lambda}{\operatorname{Pr}} v_3 + \frac{\lambda \zeta}{\operatorname{Pr}} (\Theta - x_3) v_3 + \zeta \theta v_3 + \mathbf{v} \cdot \nabla \theta &= \frac{2\zeta}{\lambda} \mathbf{D}(\mathbf{v}) \cdot \mathbf{D}(\mathbf{v}), \end{aligned} \quad (2)$$

where  $\mathbf{e}_3 = (0, 0, 1)$ ;  $\Theta = \frac{\theta^b + \theta^t}{2(\theta^b - \theta^t)} + \frac{1}{2}$  ; and  $\lambda > 0$  is defined by  $\lambda^2 = \operatorname{R} = \operatorname{Gr} \operatorname{Pr}$ . Here  $\operatorname{R}$  is the Rayleigh number. The unknown  $\{\mathbf{v}, p, \theta\}$  now denotes the deviation of the velocity, pressure and temperature from the motionless state  $\{\bar{\mathbf{v}}, \bar{p}, \bar{\theta}\} = \{\mathbf{0}, -x_3 + \frac{\epsilon^3}{2} x_3(1 - x_3), \frac{1}{2} - x_3\}$ . The boundary conditions on  $\{x_3 = 0, 1\}$  are then given by

$$\mathbf{v} = \mathbf{0} \quad \text{and} \quad \theta = 0 \quad \text{on} \quad \{x_3 = 0, 1\}.$$

We require  $\{\mathbf{v}, p, \theta\}$  to be  $\frac{2\pi}{l_j}$ -periodic in  $x_j$ -direction ( $j = 1, 2$ ). The initial boundary value problem for (2) is now posed under the initial condition

$$\mathbf{v}|_{t=0} = \mathbf{v}_0, \quad \theta|_{t=0} = \theta_0.$$

*Notation* : We set  $\Omega = \mathbf{T}_{l_1, l_2} \times (0, 1)$ ,  $\mathbf{T}_{l_1, l_2} = \mathbf{R}^2 / (\frac{2\pi}{l_1} \mathbf{Z} \times \frac{2\pi}{l_2} \mathbf{Z})$ ;  $(\cdot, \cdot)$  denotes the scalar product of  $L^2(\Omega)$ ;  $H^m(\Omega)$  denotes the  $m$ -th order  $L^2$ -Sobolev space on  $\Omega$ .

In the case of the Oberbeck-Boussinesq equation ( $\zeta = 0$ ) the stability of the motionless state is known to be controlled by the critical Rayleigh number  $\lambda_c^2 > 0$  which is given by

$$\frac{1}{\lambda_c} \equiv \sup \left\{ \frac{2(\mathbf{v} \cdot \mathbf{e}_3, \theta)}{\|\nabla \mathbf{v}\|_2^2 + \|\nabla \theta\|_2^2} ; \{\mathbf{v}, \theta\} \in H_0^1(\Omega)^4 - \{\mathbf{0}\}, \operatorname{div} \mathbf{v} = 0 \right\};$$

and the motionless state is unconditionally stable if  $\lambda < \lambda_c$  and unstable if  $\lambda > \lambda_c$ .

In case  $\zeta > 0$  we show that the motionless state is (conditionally) asymptotically stable even when  $\lambda$  is slightly beyond  $\lambda_c$  for sufficiently small  $\zeta > 0$ .

*Theorem ([2]). (i) For each  $\{\mathbf{v}_0, \theta_0\} \in H_0^1(\Omega)^3 \times L^2(\Omega)$  with  $\operatorname{div} \mathbf{v}_0 = 0$  there exist  $T > 0$  and a unique solution  $\{\mathbf{v}(t), \theta(t)\}$  of (2) on  $[0, T]$  in the class*

$$\mathbf{v} \in C([0, T]; (H_0^1)^3) \cap L^2(0, T; (H^2)^3), \quad \theta \in C([0, T]; L^2) \cap L^2(0, T; H_0^1).$$

*(ii) There exist  $\zeta_0 > 0$  and  $\lambda_c(\zeta)$  such that if  $0 \leq \zeta \leq \zeta_0$  and  $\lambda < \lambda_c(\zeta)$ , then the motionless state is asymptotically stable, namely, there exists  $\delta > 0$  such that for each  $\{\mathbf{v}_0, \theta_0\} \in H_0^1(\Omega)^3 \times L^2(\Omega)$  with  $\operatorname{div} \mathbf{v}_0 = 0$  and  $\|\mathbf{v}_0\|_{H^1} + \|\theta_0\|_{L^2} < \delta$ , the solution  $\{\mathbf{v}(t), \theta(t)\}$  exists on  $[0, \infty)$  and satisfies*

$$\|\mathbf{v}(t)\|_{H^1} + \|\theta(t)\|_{L^2} \leq C e^{-\alpha t} (\|\mathbf{v}_0\|_{H^1} + \|\theta_0\|_{L^2})$$

*for some constants  $C, \alpha > 0$ . If  $\lambda > \lambda_c(\zeta)$ , then the motionless state is unstable.*

*The number  $\lambda_c(\zeta)$  satisfies*

$$\lambda_c(0) = \lambda_c \quad \text{and} \quad \lambda_c(\zeta) > \lambda_c \quad \text{for} \quad 0 < \zeta \leq \zeta_0.$$

The next question is that what happens after the motionless state becomes unstable when  $\lambda$  is close to the critical number  $\lambda_c(\zeta)$ . It is known that in case  $\zeta = 0$  various stationary solutions bifurcate from the motionless state at the critical Rayleigh number  $\lambda_c$ . In this case, due to the unconditional stability of the motionless state, bifurcation occurs only supercritically. In contrast to the case  $\zeta = 0$ , there are some transcritical bifurcation branches when  $\zeta > 0$ , and, in particular, stationary solutions with hexagonal patterns bifurcate transcritically at  $\lambda_c(\zeta)$ . The details will be given in the talk.

## References

- [1] Rajagopal, K. R., Růžička, M., Srinivasa, A. R.: On the Oberbeck-Boussinesq Approximation. *Math. Models Methods Appl. Sci.* **6**, 1157–1167 (1996).
- [2] Kagei, Y., Růžička, M., Thäter, G.: Natural convection with dissipative heating, preprint (1999).