

Instability of solitary waves for nonlinear Schrödinger equations of derivative type

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Dedicated to Professor Nakao Hayashi on his sixtieth birthday

Abstract. We study the orbital stability and instability of solitary wave solutions for nonlinear Schrödinger equations of derivative type.

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§1. Introduction

In this paper, we study the instability of solitary wave solutions for nonlinear Schrödinger equations of the form

$$(1.1) \quad i\partial_t u = -\partial_x^2 u - i|u|^2 \partial_x u - b|u|^4 u, \quad (t, x) \in \mathbb{R} \times \mathbb{R},$$

where $b \geq 0$ is a constant. Eq. (1.1) appears in various areas of physics such as plasma physics, nonlinear optics, and so on (see, e.g., [12, 13] and also Introduction of [16]). It is known that (1.1) has a two parameter family of solitary wave solutions

$$(1.2) \quad u_\omega(t, x) = e^{i\omega_0 t} \phi_\omega(x - \omega_1 t),$$

where $\omega = (\omega_0, \omega_1) \in \Omega := \{(\omega_0, \omega_1) \in \mathbb{R}^2 : \omega_1^2 < 4\omega_0\}$, $\gamma = 1 + \frac{16}{3}b$,

$$(1.3) \quad \phi_\omega(x) = \tilde{\phi}_\omega(x) \exp\left(i\frac{\omega_1}{2}x - \frac{i}{4} \int_{-\infty}^x |\tilde{\phi}_\omega(\eta)|^2 d\eta\right),$$

$$(1.4) \quad \tilde{\phi}_\omega(x) = \left\{ \frac{2(4\omega_0 - \omega_1^2)}{-\omega_1 + \sqrt{\omega_1^2 + \gamma(4\omega_0 - \omega_1^2)} \cosh(\sqrt{4\omega_0 - \omega_1^2} x)} \right\}^{1/2}.$$

Here, we note that $\phi_\omega(x)$ is a solution of

$$(1.5) \quad -\partial_x^2 \phi + \omega_0 \phi + \omega_1 i \partial_x \phi - i|\phi|^2 \partial_x \phi - b|\phi|^4 \phi = 0, \quad x \in \mathbb{R},$$

and $\tilde{\phi}_\omega(x)$ is a solution of

$$(1.6) \quad -\partial_x^2 \phi + \frac{4\omega_0 - \omega_1^2}{4} \phi + \frac{\omega_1}{2} |\phi|^2 \phi - \frac{3}{16} \gamma |\phi|^4 \phi = 0, \quad x \in \mathbb{R}.$$

For $v, w \in L^2(\mathbb{R}) = L^2(\mathbb{R}, \mathbb{C})$, we define

$$(v, w)_{L^2} = \Re \int_{\mathbb{R}} v(x) \overline{w(x)} dx,$$

and regard $L^2(\mathbb{R})$ as a real Hilbert space. Similarly, $H^1(\mathbb{R}) = H^1(\mathbb{R}, \mathbb{C})$ is regarded as a real Hilbert space with inner product

$$(v, w)_{H^1} = (v, w)_{L^2} + (\partial_x v, \partial_x w)_{L^2}.$$

We define the energy $E : H^1(\mathbb{R}) \rightarrow \mathbb{R}$ by

$$(1.7) \quad E(v) = \frac{1}{2} \|\partial_x v\|_{L^2}^2 - \frac{1}{4} (i|v|^2 \partial_x v, v)_{L^2} - \frac{b}{6} \|v\|_{L^6}^6.$$

Then, we have

$$E'(v) = -\partial_x^2 v - i|v|^2 \partial_x v - b|v|^4 v,$$

and (1.1) can be written in a Hamiltonian form $i\partial_t u = E'(u)$ in $H^{-1}(\mathbb{R})$.

For $\theta = (\theta_0, \theta_1) \in \mathbb{R}^2$ and $v \in H^1(\mathbb{R})$, we define

$$(1.8) \quad T(\theta)v(x) = e^{i\theta_0} v(x - \theta_1) \quad (x \in \mathbb{R}).$$

Note that the energy E is invariant under T , i.e.,

$$(1.9) \quad E(T(\theta)v) = E(v), \quad \theta \in \mathbb{R}^2, v \in H^1(\mathbb{R}),$$

and that the solitary wave solution (1.2) is written as $u_\omega(t) = T(\omega t)\phi_\omega$.

The Cauchy problem for (1.1) is locally well-posed in the energy space $H^1(\mathbb{R})$ (see [16] and also [7, 8, 9]). For any $u_0 \in H^1(\mathbb{R})$, there exist $T_{\max} \in (0, \infty]$ and a unique solution $u \in C([0, T_{\max}), H^1(\mathbb{R}))$ of (1.1) with $u(0) = u_0$ such that either $T_{\max} = \infty$ or $T_{\max} < \infty$ and $\lim_{t \rightarrow T_{\max}} \|u(t)\|_{H^1} = \infty$. Moreover, the solution $u(t)$ satisfies

$$E(u(t)) = E(u_0), \quad Q_0(u(t)) = Q_0(u_0), \quad Q_1(u(t)) = Q_1(u_0)$$

for all $t \in [0, T_{\max})$, where Q_0 and Q_1 are defined by

$$(1.10) \quad Q_0(v) = \frac{1}{2} \|v\|_{L^2}^2, \quad Q_1(v) = \frac{1}{2} (i\partial_x v, v)_{L^2}.$$

For $\varepsilon > 0$, we define

$$U_\varepsilon(\phi_\omega) = \{u \in H^1(\mathbb{R}) : \inf_{\theta \in \mathbb{R}^2} \|u - T(\theta)\phi_\omega\|_{H^1} < \varepsilon\}.$$

Then, the stability and instability of solitary waves are defined as follows.

Definition 1. We say that the solitary wave solution $T(\omega t)\phi_\omega$ of (1.1) is *stable* if for any $\varepsilon > 0$ there exists $\delta > 0$ such that if $u_0 \in U_\delta(\phi_\omega)$, then the solution $u(t)$ of (1.1) with $u(0) = u_0$ exists for all $t \geq 0$, and $u(t) \in U_\varepsilon(\phi_\omega)$ for all $t \geq 0$. Otherwise, $T(\omega t)\phi_\omega$ is said to be *unstable*.

For the case $b = 0$, Colin and Ohta [2] proved that the solitary wave solution $T(\omega t)\phi_\omega$ of (1.1) is stable for all $\omega \in \Omega$ (see also [6, 20]). We remark that the instability of solitary waves for (1.1) is not studied in previous papers [2, 6, 20]. For a recent result on a generalized derivative nonlinear Schrödinger equation, see [10].

In this paper, we consider the case $b > 0$, and prove the following.

Theorem 1. Let $b > 0$. Then there exists $\kappa = \kappa(b) \in (0, 1)$ such that the solitary wave solution $T(\omega t)\phi_\omega$ of (1.1) is stable if $-2\sqrt{\omega_0} < \omega_1 < 2\kappa\sqrt{\omega_0}$, and unstable if $2\kappa\sqrt{\omega_0} < \omega_1 < 2\sqrt{\omega_0}$.

Remark 1. Let $b > 0$, $\gamma = 1 + \frac{16}{3}b$, and

$$(1.11) \quad g(\xi) = \frac{2(\gamma - 1)}{\xi} \tan^{-1} \frac{1 + \sqrt{1 + \xi^2}}{\xi}, \quad \xi \in (0, \infty).$$

Then, $g : (0, \infty) \rightarrow (0, \infty)$ is strictly decreasing and bijective. Thus, for any $b > 0$, there exists a unique $\hat{\xi} = \hat{\xi}(b) \in (0, \infty)$ such that $g(\hat{\xi}) = 1$. The constant κ in Theorem 1 is given by $\kappa = (1 + \hat{\xi}^2/\gamma)^{-1/2}$ (see Lemma 1 below).

Remark 2. The sufficient condition $-2\sqrt{\omega_0} < \omega_1 < 2\kappa\sqrt{\omega_0}$ for stability of $T(\omega t)\phi_\omega$ is equivalent to $Q_1(\phi_\omega) > 0$, and the sufficient condition $2\kappa\sqrt{\omega_0} < \omega_1 < 2\sqrt{\omega_0}$ for instability is equivalent to $Q_1(\phi_\omega) < 0$ (see Lemma 1 and Proof of Theorem 1 below). We also remark that $E(\phi_\omega) = -\frac{\omega_1}{2}Q_1(\phi_\omega)$ for all $\omega \in \Omega$.

Remark 3. We do not study the borderline case $\omega_1 = 2\kappa\sqrt{\omega_0}$ in this paper, and leave it as an open problem. Note that $E(\phi_\omega) = Q_1(\phi_\omega) = 0$ in the case $\omega_1 = 2\kappa\sqrt{\omega_0}$. For related results for one-parameter family of solitary waves in borderline cases, see [1, 15, 14, 11].

Remark 4. It is not known whether (1.1) has finite time blowup solutions or not. It will be interesting to study relations between unstable solitary wave solutions obtained in Theorem 1 and the existence of blowup solutions for (1.1). For a recent progress in this direction, see Wu [18, 19].

For $\omega \in \Omega$, we define the action $S_\omega : H^1(\mathbb{R}) \rightarrow \mathbb{R}$ by

$$S_\omega(v) = E(v) + \sum_{j=0}^1 \omega_j Q_j(v),$$

where E , Q_0 and Q_1 are defined by (1.7) and (1.10). Note that $Q'_0(v) = v$, $Q'_1(v) = i\partial_x v$, and that (1.5) is equivalent to $S'_\omega(\phi) = 0$.

We also define a function $d : \Omega \rightarrow \mathbb{R}$ by

$$d(\omega) = S_\omega(\phi_\omega) = E(\phi_\omega) + \sum_{j=0}^1 \omega_j Q_j(\phi_\omega).$$

Then, we have

$$d'(\omega) = (\partial_{\omega_0} d(\omega), \partial_{\omega_1} d(\omega)) = (Q_0(\phi_\omega), Q_1(\phi_\omega)),$$

and the Hessian matrix $d''(\omega)$ of $d(\omega)$ is given by

$$d''(\omega) = \begin{bmatrix} \partial_{\omega_0}^2 d(\omega) & \partial_{\omega_1} \partial_{\omega_0} d(\omega) \\ \partial_{\omega_0} \partial_{\omega_1} d(\omega) & \partial_{\omega_1}^2 d(\omega) \end{bmatrix} = \begin{bmatrix} \partial_{\omega_0} Q_0(\phi_\omega) & \partial_{\omega_1} Q_0(\phi_\omega) \\ \partial_{\omega_0} Q_1(\phi_\omega) & \partial_{\omega_1} Q_1(\phi_\omega) \end{bmatrix}.$$

To prove Theorem 1, we use the following sufficient conditions for stability and instability in terms of the Hessian matrix $d''(\omega)$ (see [5]).

Theorem 2. *Let $\omega \in \Omega$. If the matrix $d''(\omega)$ has a positive eigenvalue, then the solitary wave solution $T(\omega t)\phi_\omega$ of (1.1) is stable.*

Theorem 3. *Let $\omega \in \Omega$. If $d''(\omega)$ is negative definite (all eigenvalues of $d''(\omega)$ are negative), then the solitary wave solution $T(\omega t)\phi_\omega$ of (1.1) is unstable.*

Theorem 2 can be proved in the same way as in Colin and Ohta [2], and we omit the proof. We give the proof of Theorem 3 in Section 3 below. As we stated above, the instability of solitary waves for (1.1) has not been studied in previous papers [2, 6, 20].

Moreover, by the explicit form (1.3) with (1.4) of ϕ_ω , and by elementary computations, we have the following.

Lemma 1. *Let $b > 0$ and $\gamma = 1 + \frac{16}{3}b$. For $\omega \in \Omega$, we have*

$$\begin{aligned} Q_0(\phi_\omega) &= \frac{4}{\sqrt{\gamma}} \tan^{-1} \frac{\omega_1 + \sqrt{\omega_1^2 + \gamma(4\omega_0 - \omega_1^2)}}{\sqrt{\gamma(4\omega_0 - \omega_1^2)}}, \\ Q_1(\phi_\omega) &= \frac{1}{\gamma^{3/2}} \left\{ \sqrt{\gamma(4\omega_0 - \omega_1^2)} \right. \\ &\quad \left. - 2(\gamma - 1)\omega_1 \tan^{-1} \frac{\omega_1 + \sqrt{\omega_1^2 + \gamma(4\omega_0 - \omega_1^2)}}{\sqrt{\gamma(4\omega_0 - \omega_1^2)}} \right\}, \\ \det[d''(\omega)] &= \frac{-4Q_1(\phi_\omega)}{\sqrt{4\omega_0 - \omega_1^2} \{\omega_1^2 + \gamma(4\omega_0 - \omega_1^2)\}}. \end{aligned}$$

Theorem 1 follows from Theorems 2 and 3, Lemma 1 and Remark 1.

Proof of Theorem 1. Let $\omega \in \Omega$. If $\omega_1 \leq 0$, then by Lemma 1, we have $Q_1(\phi_\omega) > 0$ and $\det[d''(\omega)] < 0$. Thus, the matrix $d''(\omega)$ has one positive eigenvalue and one negative eigenvalue. Therefore, by Theorem 2, $T(\omega t)\phi_\omega$ is stable.

Next, we consider the case $\omega_1 > 0$. We put $\xi = \sqrt{\gamma \left(\frac{4\omega_0}{\omega_1^2} - 1 \right)}$. Then, by Lemma 1, we have

$$Q_1(\phi_\omega) = \frac{1}{\gamma} \sqrt{4\omega_0 - \omega_1^2} \{1 - g(\xi)\},$$

where $g(\xi)$ is defined by (1.11) in Remark 1.

If $g(\xi) < 1$, then $Q_1(\phi_\omega) > 0$ and $\det[d''(\omega)] < 0$. Thus, $d''(\omega)$ has a positive eigenvalue, and by Theorem 2, $T(\omega t)\phi_\omega$ is stable.

On the other hand, if $g(\xi) > 1$, then $Q_1(\phi_\omega) < 0$ and $\det[d''(\omega)] > 0$. Moreover, since

$$\partial_{\omega_0}^2 d(\omega) = \partial_{\omega_0} Q_0(\phi_\omega) = \frac{-4\omega_1}{\sqrt{4\omega_0 - \omega_1^2} \{\gamma(4\omega_0 - \omega_1^2) + \omega_1^2\}} < 0,$$

we see that $d''(\omega)$ is negative definite. Thus, it follows from Theorem 3 that $T(\omega t)\phi_\omega$ is unstable.

Finally, by Remark 1, we see that $g(\xi) < 1$ is equivalent to $\omega_1 < 2\kappa\sqrt{\omega_0}$, and that $g(\xi) > 1$ is equivalent to $\omega_1 > 2\kappa\sqrt{\omega_0}$. \square

The rest of the paper is organized as follows. In Section 2, we give a variational characterization of ϕ_ω . This part is essentially the same as Section 3 of [2], so we omit the details. In Section 3, we give the proof of Theorem 3. We divide the proof into two parts. In Subsection 3.1, we prove that if $d''(\omega)$ is negative definite, then there exists an unstable direction ψ . In Subsection 3.2, we prove the instability of $T(\omega t)\phi_\omega$ using the variational characterization of ϕ_ω and the unstable direction ψ .

§2. Variational characterization

In this section, we give a variational characterization of ϕ_ω . Although ϕ_ω is given by (1.3) and (1.4) explicitly, we need such a variational characterization to prove stability and instability of solitary wave solutions $T(\omega t)\phi_\omega$.

Throughout this section, we assume that $b > 0$. The case $b = 0$ is studied in Section 3 of [2], and the proof for the case $b > 0$ is almost the same as that for $b = 0$, so we will omit the details.

For $\omega \in \Omega$, we define

$$\begin{aligned} L_\omega(v) &= \|\partial_x v\|_{L^2}^2 + \omega_0 \|v\|_{L^2}^2 + \omega_1 (i\partial_x v, v)_{L^2}, \\ S_\omega(v) &= \frac{1}{2} L_\omega(v) - \frac{1}{4} (i|v|^2 \partial_x v, v)_{L^2} - \frac{b}{6} \|v\|_{L^6}^6, \\ K_\omega(v) &= L_\omega(v) - (i|v|^2 \partial_x v, v)_{L^2} - b \|v\|_{L^6}^6, \end{aligned}$$

and consider the following minimization problem:

$$(2.1) \quad \mu(\omega) = \inf\{S_\omega(v) : v \in H^1(\mathbb{R}) \setminus \{0\}, K_\omega(v) = 0\}.$$

Note that (1.5) is equivalent to $S'_\omega(\phi) = 0$ and that $K_\omega(v) = \partial_\lambda S_\omega(\lambda v)|_{\lambda=1}$.

We also define

$$\tilde{S}_\omega(v) = S_\omega(v) - \frac{1}{4} K_\omega(v) = \frac{1}{4} L_\omega(v) + \frac{b}{12} \|v\|_{L^6}^6.$$

Lemma 2. *Let $\omega \in \Omega$.*

- (1) *There exists a constant $C_1 = C_1(\omega) > 0$ such that $L_\omega(v) \geq C_1 \|v\|_{H^1}^2$ for all $v \in H^1(\mathbb{R})$.*
- (2) *$\mu(\omega) > 0$.*
- (3) *If $v \in H^1(\mathbb{R})$ satisfies $K_\omega(v) < 0$, then $\mu(\omega) < \tilde{S}_\omega(v)$.*

Proof. (1) See Lemma 7 (1) of [2].

(2) Let $v \in H^1(\mathbb{R}) \setminus \{0\}$ satisfy $K_\omega(v) = 0$. Then, by (1) and the Sobolev inequality, there exists $C_2 > 0$ such that

$$\begin{aligned} C_1 \|v\|_{H^1}^2 &\leq L_\omega(v) = (i|v|^2 \partial_x v, v)_{L^2} + b \|v\|_{L^6}^6 \\ &\leq \|\partial_x v\|_{L^2} \|v\|_{L^6}^3 + b \|v\|_{L^6}^6 \leq \frac{C_1}{2} \|v\|_{H^1}^2 + C_2 \|v\|_{H^1}^6. \end{aligned}$$

Since $v \neq 0$, we have $\|v\|_{H^1}^4 \geq \frac{C_1}{2C_2}$. Thus, we have

$$\begin{aligned} \mu(\omega) &= \inf\{\tilde{S}_\omega(v) : v \in H^1(\mathbb{R}) \setminus \{0\}, K_\omega(v) = 0\} \\ &\geq \frac{1}{4} \inf\{L_\omega(v) : v \in H^1(\mathbb{R}) \setminus \{0\}, K_\omega(v) = 0\} \geq \frac{C_1}{4} \sqrt{\frac{C_1}{2C_2}} > 0. \end{aligned}$$

(3) Let $v \in H^1(\mathbb{R}) \setminus \{0\}$ satisfy $K_\omega(v) < 0$. Then, there exists $\lambda_1 \in (0, 1)$ such that

$$K_\omega(\lambda_1 v) = \lambda_1^2 L_\omega(v) - \lambda_1^4 (i|v|^2 \partial_x v, v)_{L^2} - \lambda_1^6 b \|v\|_{L^6}^6 = 0.$$

Since $v \neq 0$, we have

$$\mu(\omega) \leq \tilde{S}_\omega(\lambda_1 v) = \frac{\lambda_1^2}{4} L_\omega(v) + \frac{\lambda_1^6 b}{12} \|v\|_{L^6}^6 < \tilde{S}_\omega(v).$$

This completes the proof. □

Let \mathcal{M}_ω be the set of all minimizers for (2.1), i.e.,

$$\mathcal{M}_\omega = \{\varphi \in H^1(\mathbb{R}) \setminus \{0\} : S_\omega(\varphi) = \mu(\omega), K_\omega(\varphi) = 0\}.$$

Then, we obtain the following.

Lemma 3. *For any $\omega \in \Omega$, we have $\mathcal{M}_\omega = \{T(\theta)\phi_\omega : \theta \in \mathbb{R}^2\}$. In particular, if $v \in H^1(\mathbb{R})$ satisfies $K_\omega(v) = 0$ and $v \neq 0$, then $S_\omega(\phi_\omega) \leq S_\omega(v)$.*

The proof of Lemma 3 is almost the same as that of Lemma 10 of [2], so we omit it.

The following lemma plays an important role in the proof of Lemma 12.

Lemma 4. *If $v \in H^1(\mathbb{R})$ satisfies $\langle K'_\omega(\phi_\omega), v \rangle = 0$, then $\langle S''_\omega(\phi_\omega)v, v \rangle \geq 0$.*

Proof. Let $v \in H^1(\mathbb{R})$ satisfy $\langle K'_\omega(\phi_\omega), v \rangle = 0$. Since $K_\omega(\phi_\omega) = 0$ and $\langle K'_\omega(\phi_\omega), \phi_\omega \rangle \neq 0$, by the implicit function theorem, there exist a constant $\delta > 0$ and a C^2 -function $\gamma : (-\delta, \delta) \rightarrow \mathbb{R}$ such that $\gamma(0) = 0$ and

$$(2.2) \quad K_\omega(\phi_\omega + sv + \gamma(s)\phi_\omega) = 0, \quad s \in (-\delta, \delta).$$

Taking δ smaller if necessary, we also have $\phi_\omega + sv + \gamma(s)\phi_\omega \neq 0$ for $s \in (-\delta, \delta)$.

Differentiating (2.2) at $s = 0$, we have

$$0 = \langle K'_\omega(\phi_\omega), v \rangle + \gamma'(0) \langle K'_\omega(\phi_\omega), \phi_\omega \rangle.$$

Since $\langle K'_\omega(\phi_\omega), v \rangle = 0$ and $\langle K'_\omega(\phi_\omega), \phi_\omega \rangle \neq 0$, we have $\gamma'(0) = 0$.

Moreover, since $\phi_\omega \in \mathcal{M}_\omega$ by Lemma 3, it follows from (2.2) that the function $s \mapsto S_\omega(\phi_\omega + sv + \gamma(s)\phi_\omega)$ has a local minimum at $s = 0$. Thus, we have

$$\begin{aligned} 0 &\leq \frac{d^2}{ds^2} S_\omega(\phi_\omega + sv + \gamma(s)\phi_\omega) \Big|_{s=0} \\ &= \langle S''_\omega(\phi_\omega)(v + \gamma'(0)\phi_\omega), v + \gamma'(0)\phi_\omega \rangle + \langle S'_\omega(\phi_\omega), \gamma''(0)\phi_\omega \rangle \\ &= \langle S''_\omega(\phi_\omega)v, v \rangle. \end{aligned}$$

This completes the proof. \square

§3. Proof of Theorem 3

In this section, we give the proof of Theorem 3. We divide the proof into two parts. In Subsection 3.1, we prove that if $d''(\omega)$ is negative definite, then there exists an unstable direction ψ (see Lemma 6). In Subsection 3.2, we prove the instability of $T(\omega t)\phi_\omega$ using the variational characterization of ϕ_ω and the unstable direction ψ (see Proposition 1). Theorem 3 follows from Lemma 6 and Proposition 1.

3.1. Existence of unstable direction

Lemma 5. $\langle S''_{\omega}(\phi_{\omega})\phi_{\omega}, \phi_{\omega} \rangle < 0$.

Proof. Since the function

$$(0, \infty) \ni \lambda \mapsto S_{\omega}(\lambda\phi_{\omega}) = \frac{\lambda^2}{2}L_{\omega}(\phi_{\omega}) - \frac{\lambda^4}{4}(i|\phi_{\omega}|^2\partial_x\phi_{\omega}, \phi_{\omega})_{L^2} - \frac{\lambda^6 b}{6}\|\phi_{\omega}\|_{L^6}^6$$

has a strictly local maximum at $\lambda = 1$, we have

$$0 > \frac{d^2}{d\lambda^2}S_{\omega}(\lambda\phi_{\omega})|_{\lambda=1} = \langle S''_{\omega}(\phi_{\omega})\phi_{\omega}, \phi_{\omega} \rangle.$$

This completes the proof. \square

Lemma 6. Assume that $d''(\hat{\omega})$ is negative definite. Then there exists $\psi \in H^1(\mathbb{R})$ such that

$$\langle Q'_0(\phi_{\hat{\omega}}), \psi \rangle = \langle Q'_1(\phi_{\hat{\omega}}), \psi \rangle = 0, \quad \langle S''_{\hat{\omega}}(\phi_{\hat{\omega}})\psi, \psi \rangle < 0.$$

Proof. For (s, ω) near $(0, \hat{\omega})$ in $\mathbb{R} \times \Omega$, we define

$$F(s, \omega) := \begin{bmatrix} Q_0(s\phi_{\hat{\omega}} + \phi_{\omega}) - Q_0(\phi_{\hat{\omega}}) \\ Q_1(s\phi_{\hat{\omega}} + \phi_{\omega}) - Q_1(\phi_{\hat{\omega}}) \end{bmatrix}.$$

Then, we have $F(0, \hat{\omega}) = 0$. Moreover, since $D_{\omega}F(0, \hat{\omega}) = d''(\hat{\omega})$ is negative definite and invertible, by the implicit function theorem, there exist a constant $\delta > 0$ and a C^1 -function $\gamma : (-\delta, \delta) \rightarrow \Omega$ such that $\gamma(0) = \hat{\omega}$ and

$$Q_0(s\phi_{\hat{\omega}} + \phi_{\gamma(s)}) = Q_0(\phi_{\hat{\omega}}), \quad Q_1(s\phi_{\hat{\omega}} + \phi_{\gamma(s)}) = Q_1(\phi_{\hat{\omega}})$$

for $s \in (-\delta, \delta)$. We define $\varphi_s := s\phi_{\hat{\omega}} + \phi_{\gamma(s)}$ for $s \in (-\delta, \delta)$, and

$$w_j := \partial_{\omega_j}\phi_{\omega}|_{\omega=\hat{\omega}} \quad (j = 0, 1), \quad \psi := \partial_s\varphi_s|_{s=0} = \phi_{\hat{\omega}} + \sum_{j=0}^1 \gamma'_j(0)w_j.$$

Then, for $j = 0, 1$, we have

$$\begin{aligned} (3.1) \quad 0 &= \frac{d}{ds}Q_j(\varphi_s)|_{s=0} = \langle Q'_j(\phi_{\hat{\omega}}), \psi \rangle \\ &= \langle Q'_j(\phi_{\hat{\omega}}), \phi_{\hat{\omega}} \rangle + \sum_{k=0}^1 \gamma'_k(0) \langle Q'_j(\phi_{\hat{\omega}}), w_k \rangle. \end{aligned}$$

Moreover, differentiating

$$0 = S'_{\omega}(\phi_{\omega}) = E'(\phi_{\omega}) + \sum_{k=0}^1 \omega_k Q'_k(\phi_{\omega}),$$

with respect to ω_j for $j = 0, 1$, we have

$$(3.2) \quad \begin{aligned} 0 &= E''(\phi_\omega)(\partial_{\omega_j}\phi_\omega) + \sum_{k=0}^1 \omega_k Q_k''(\phi_\omega)(\partial_{\omega_j}\phi_\omega) + Q_j'(\phi_\omega) \\ &= S_\omega''(\phi_\omega)(\partial_{\omega_j}\phi_\omega) + Q_j'(\phi_\omega). \end{aligned}$$

By (3.1) and (3.2), we have

$$\begin{aligned} \langle S_\omega''(\phi_\omega)\psi, \psi \rangle &= \langle S_\omega''(\phi_\omega)\phi_\omega, \phi_\omega \rangle + 2 \sum_{j=0}^1 \gamma_j'(0) \langle S_\omega''(\phi_\omega)w_j, \phi_\omega \rangle \\ &\quad + \sum_{j,k=0}^1 \gamma_j'(0) \gamma_k'(0) \langle S_\omega''(\phi_\omega)w_j, w_k \rangle \\ &= \langle S_\omega''(\phi_\omega)\phi_\omega, \phi_\omega \rangle - 2 \sum_{j=0}^1 \gamma_j'(0) \langle Q_j'(\phi_\omega), \phi_\omega \rangle - \sum_{j,k=0}^1 \gamma_j'(0) \gamma_k'(0) \langle Q_j'(\phi_\omega), w_k \rangle \\ &= \langle S_\omega''(\phi_\omega)\phi_\omega, \phi_\omega \rangle + \sum_{j,k=0}^1 \gamma_j'(0) \gamma_k'(0) \langle Q_j'(\phi_\omega), w_k \rangle \\ &= \langle S_\omega''(\phi_\omega)\phi_\omega, \phi_\omega \rangle + \sum_{j,k=0}^1 \gamma_j'(0) \gamma_k'(0) \partial_{\omega_j} \partial_{\omega_k} d(\omega). \end{aligned}$$

Since $d''(\omega)$ is negative definite, it follows from Lemma 5 that

$$\langle S_\omega''(\phi_\omega)\psi, \psi \rangle \leq \langle S_\omega''(\phi_\omega)\phi_\omega, \phi_\omega \rangle < 0.$$

This completes the proof. \square

3.2. Proof of instability

In this subsection, we prove the following.

Proposition 1. *Let $\omega \in \Omega$, and assume that there exists $\psi \in H^1(\mathbb{R})$ such that*

$$(3.3) \quad \langle Q_0'(\phi_\omega), \psi \rangle = \langle Q_1'(\phi_\omega), \psi \rangle = 0, \quad \langle S_\omega''(\phi_\omega)\psi, \psi \rangle < 0.$$

Then, the solitary wave solution $T(\omega t)\phi_\omega$ of (1.1) is unstable.

To prove Proposition 1, we use the argument of Gonçalves Ribeiro [3] (see also [17, 4]) with some modifications. Throughout this subsection, we fix $\omega \in \Omega$, and assume that $\psi \in H^1(\mathbb{R})$ satisfies (3.3).

Lemma 7. *There exists a constant $\lambda_0 > 0$ such that*

$$S_\omega(\phi_\omega + \lambda\psi) < S_\omega(\phi_\omega)$$

for all $\lambda \in (-\lambda_0, 0) \cup (0, \lambda_0)$.

Proof. By Taylor's expansion, for $\lambda \in \mathbb{R}$, we have

$$\begin{aligned} S_\omega(\phi_\omega + \lambda\psi) &= S_\omega(\phi_\omega) + \lambda \langle S'_\omega(\phi_\omega), \psi \rangle + \lambda^2 \int_0^1 (1-s) \langle S''_\omega(\phi_\omega + s\lambda\psi)\psi, \psi \rangle ds \\ &= S_\omega(\phi_\omega) + \lambda^2 \int_0^1 (1-s) \langle S''_\omega(\phi_\omega + s\lambda\psi)\psi, \psi \rangle ds. \end{aligned}$$

Since $\langle S''_\omega(\phi_\omega)\psi, \psi \rangle < 0$, by the continuity of $\lambda \mapsto \langle S''_\omega(\phi_\omega + \lambda\psi)\psi, \psi \rangle$, there exists $\lambda_0 > 0$ such that

$$\langle S''_\omega(\phi_\omega + \lambda\psi)\psi, \psi \rangle \leq \frac{1}{2} \langle S''_\omega(\phi_\omega)\psi, \psi \rangle$$

for all $\lambda \in (-\lambda_0, \lambda_0)$. Thus, for $\lambda \in (-\lambda_0, 0) \cup (0, \lambda_0)$, we have

$$S_\omega(\phi_\omega + \lambda\psi) \leq S_\omega(\phi_\omega) + \frac{\lambda^2}{4} \langle S''_\omega(\phi_\omega)\psi, \psi \rangle < S_\omega(\phi_\omega).$$

This completes the proof. □

For $u \in H^1(\mathbb{R})$, we define

$$T'_0 u = iu, \quad T'_1 u = -\partial_x u.$$

Then, by (1.8) and (1.10), we have

$$(3.4) \quad \partial_{\theta_j} T(\theta)u = T(\theta)T'_j u = T'_j T(\theta)u, \quad \langle Q'_j(u), v \rangle = (T'_j u, iv)_{L^2}$$

for $\theta = (\theta_0, \theta_1) \in \mathbb{R}^2$, $u, v \in H^1(\mathbb{R})$ and $j = 0, 1$. We denote $\mathbb{T} = \mathbb{R}/2\pi\mathbb{Z}$.

Lemma 8. *There exist a constant $\varepsilon_0 > 0$ and a C^1 -function*

$$\alpha = (\alpha_0, \alpha_1) : U_{\varepsilon_0}(\phi_\omega) \rightarrow \mathbb{T} \times \mathbb{R}$$

such that $\alpha(\phi_\omega) = 0$, and

$$(1) \quad \alpha(T(\xi)u) = \alpha(u) + \xi \text{ for all } u \in U_{\varepsilon_0}(\phi_\omega) \text{ and } \xi \in \mathbb{T} \times \mathbb{R}.$$

$$(2) \quad (T'_j u, T(\alpha(u))\phi_\omega)_{L^2} = 0 \text{ for all } u \in U_{\varepsilon_0}(\phi_\omega) \text{ and } j = 0, 1.$$

(3) *There exists $\rho > 0$ such that*

$$\sum_{j,k=0}^1 (T'_j u, T(\alpha(u))T'_k \phi_\omega)_{L^2} \zeta_j \zeta_k \geq \rho |\zeta|^2$$

for all $u \in U_{\varepsilon_0}(\phi_\omega)$ and $\zeta = (\zeta_0, \zeta_1) \in \mathbb{R}^2$.

Proof. See Section 3 of [3]. □

For $u \in U_{\varepsilon_0}(\phi_\omega)$, we define

$$H(u) = [h_{jk}(u)]_{j,k=0,1}, \quad h_{jk}(u) = (T'_j u, T(\alpha(u))T'_k \phi_\omega)_{L^2}.$$

Then, by Lemma 8 (1), we have

$$(3.5) \quad h_{jk}(T(\xi)u) = (T(\xi)T'_j u, T(\alpha(u) + \xi)T'_k \phi_\omega)_{L^2} = h_{jk}(u)$$

for $u \in U_{\varepsilon_0}(\phi_\omega)$ and $\xi \in \mathbb{T} \times \mathbb{R}$.

Moreover, differentiating Lemma 8 (2) with respect to u , we have

$$(3.6) \quad \sum_{k=0}^1 h_{jk}(u) \langle \alpha'_k(u), w \rangle = (T(\alpha(u))T'_j \phi_\omega, w)_{L^2}$$

for $u \in U_{\varepsilon_0}(\phi_\omega)$, $w \in H^1(\mathbb{R})$ and $j = 0, 1$. By Lemma 8 (3), the matrix $H(u)$ is invertible, and we denote the inverse $H(u)^{-1}$ by $G(u) = [g_{jk}(u)]$. Then, there exists a constant $C > 0$ such that

$$(3.7) \quad |g_{jk}(u)| \leq C \text{ for all } u \in U_{\varepsilon_0}(\phi_\omega), \quad j, k = 0, 1.$$

For $j = 0, 1$ and $u \in U_{\varepsilon_0}(\phi_\omega)$, we define

$$a_j(u) := \sum_{k=0}^1 g_{jk}(u) T(\alpha(u))T'_k \phi_\omega.$$

Since $\phi_\omega \in H^2(\mathbb{R})$, we see that $a_j(u) \in H^1(\mathbb{R})$, it follows from (3.6) that

$$\langle \alpha'_j(u), w \rangle = (a_j(u), w)_{L^2}, \quad w \in H^1(\mathbb{R}).$$

By (3.5) and Lemma 8 (1), for $j = 0, 1$, we have

$$(3.8) \quad a_j(T(\xi)u) = T(\xi)a_j(u) \text{ for all } u \in U_{\varepsilon_0}(\phi_\omega), \quad \xi \in \mathbb{T} \times \mathbb{R}.$$

Moreover, by (3.7), there exists a constant $C > 0$ such that

$$(3.9) \quad \|a_j(u)\|_{H^1} \leq C \text{ for all } u \in U_{\varepsilon_0}(\phi_\omega), \quad j = 0, 1.$$

Next, for $u \in U_{\varepsilon_0}(\phi_\omega)$, we define

$$(3.10) \quad A(u) = (iu, T(\alpha(u))\psi)_{L^2},$$

$$(3.11) \quad q(u) = T(\alpha(u))\psi + \sum_{j=0}^1 (iu, T(\alpha(u))T_j' \psi)_{L^2} ia_j(u).$$

Then, since $\psi, a_0(u), a_1(u) \in H^1(\mathbb{R})$, we see that $q(u) \in H^1(\mathbb{R})$.

Lemma 9. For $u \in U_{\varepsilon_0}(\phi_\omega)$,

$$(1) \quad A(T(\xi)u) = A(u), \quad q(T(\xi)u) = T(\xi)q(u) \text{ for all } \xi \in \mathbb{T} \times \mathbb{R}.$$

$$(2) \quad \langle A'(u), w \rangle = (q(u), iw)_{L^2} \text{ for } w \in H^1(\mathbb{R}).$$

$$(3) \quad q(\phi_\omega) = \psi.$$

$$(4) \quad \langle Q_j'(u), q(u) \rangle = 0 \text{ for } j = 0, 1.$$

Proof. (1) By Lemma 8 (1), we have

$$\begin{aligned} A(T(\xi)u) &= (iT(\xi)u, T(\alpha(u) + \xi)\psi)_{L^2} \\ &= (iT(\xi)u, T(\xi)T(\alpha(u))\psi)_{L^2} = A(u). \end{aligned}$$

Moreover, by (3.8), we have

$$\begin{aligned} q(T(\xi)u) &= T(\xi)T(\alpha(u))\psi + \sum_{j=0}^1 (iT(\xi)u, T(\xi)T(\alpha(u))T_j' \psi)_{L^2} ia_j(T(\xi)u) \\ &= T(\xi)q(u). \end{aligned}$$

(2) For $u \in U_{\varepsilon_0}(\phi_\omega)$ and $w \in H^1(\mathbb{R})$, we have

$$\begin{aligned} \langle A'(u), w \rangle &= (iw, T(\alpha(u))\psi)_{L^2} + \sum_{j=0}^1 \langle \alpha_j'(u), w \rangle (iu, T(\alpha(u))T_j' \psi)_{L^2} \\ &= (iw, T(\alpha(u))\psi)_{L^2} + \sum_{j=0}^1 (iu, T(\alpha(u))T_j' \psi)_{L^2} (a_j(u), w)_{L^2} \\ &= (q(u), iw)_{L^2}. \end{aligned}$$

(3) By (3.4) and the assumption (3.3), we have

$$(i\phi_\omega, T_j' \psi)_{L^2} = (T_j' \phi_\omega, i\psi)_{L^2} = \langle Q_j'(\phi_\omega), \psi \rangle = 0.$$

Moreover, since $\alpha(\phi_\omega) = 0$, by (3.11), we have $q(\phi_\omega) = \psi$.

(4) For $u \in H^2(\mathbb{R}) \cap U_{\varepsilon_0}(\phi_\omega)$, by (1) and (2), we have

$$0 = \partial_{\xi_j} A(T(\xi)u) \Big|_{\xi=0} = \langle A'(u), T'_j u \rangle = \langle q(u), iT'_j u \rangle_{L^2}.$$

By density argument, we have $\langle q(u), iT'_j u \rangle_{L^2} = 0$ for all $u \in U_{\varepsilon_0}(\phi_\omega)$.

Thus, we have $\langle Q'_j(u), q(u) \rangle = \langle T'_j u, iq(u) \rangle_{L^2} = 0$ for $u \in U_{\varepsilon_0}(\phi_\omega)$. \square

For $u \in U_{\varepsilon_0}(\phi_\omega)$, we define

$$P(u) := \langle E'(u), q(u) \rangle.$$

We remark that by Lemma 9 (4), we have

$$(3.12) \quad P(u) = \langle S'_\omega(u), q(u) \rangle, \quad u \in U_{\varepsilon_0}(\phi_\omega).$$

Lemma 10. *Let I be an interval of \mathbb{R} . Let $u \in C(I, H^1(\mathbb{R})) \cap C^1(I, H^{-1}(\mathbb{R}))$ be a solution of (1.1), and assume that $u(t) \in U_{\varepsilon_0}(\phi_\omega)$ for all $t \in I$. Then,*

$$\frac{d}{dt} A(u(t)) = P(u(t))$$

for all $t \in I$.

Proof. By Lemma 4.6 of [4] and Lemma 9 (2), we see that $t \mapsto A(u(t))$ is a C^1 -function on I , and

$$\frac{d}{dt} A(u(t)) = \langle i\partial_t u(t), q(u(t)) \rangle$$

for all $t \in I$. Since $u(t)$ is a solution of (1.1), we have

$$\langle i\partial_t u(t), q(u(t)) \rangle = \langle E'(u(t)), q(u(t)) \rangle = P(u(t))$$

for all $t \in I$. This completes the proof. \square

Lemma 11. *There exist constants $\lambda_1 > 0$ and $\varepsilon_1 \in (0, \varepsilon_0)$ such that*

$$S_\omega(u + \lambda q(u)) \leq S_\omega(u) + \lambda P(u)$$

for all $\lambda \in (-\lambda_1, \lambda_1)$ and $u \in U_{\varepsilon_1}(\phi_\omega)$.

Proof. For $u \in U_{\varepsilon_0}(\phi_\omega)$ and $\lambda \in \mathbb{R}$, by Taylor's expansion, we have

$$(3.13) \quad S_\omega(u + \lambda q(u)) = S_\omega(u) + \lambda P(u) + \lambda^2 \int_0^1 (1-s) R(\lambda s, u) ds,$$

where we used (3.12) and put

$$R(\lambda, u) := \langle S''_\omega(u + \lambda q(u))q(u), q(u) \rangle.$$

Here, we remark that

$$\begin{aligned} P(T(\xi)u) &= \langle S'_\omega(T(\xi)u), T(\xi)q(u) \rangle = P(u), \\ R(\lambda, T(\xi)u) &= \langle S''_\omega(T(\xi)(u + \lambda q(u)))T(\xi)q(u), T(\xi)q(u) \rangle = R(\lambda, u) \end{aligned}$$

for $\xi \in \mathbb{T} \times \mathbb{R}$, $\lambda \in \mathbb{R}$ and $u \in H^1(\mathbb{R})$. Moreover, since

$$R(0, \phi_\omega) = \langle S''_\omega(\phi_\omega)q(\phi_\omega), q(\phi_\omega) \rangle = \langle S''_\omega(\phi_\omega)\psi, \psi \rangle < 0,$$

by the continuity of $R(\lambda, u)$ with respect to λ and u , there exist constants $\lambda_1 > 0$ and $\varepsilon_1 \in (0, \varepsilon_0)$ such that $R(\lambda, u) < 0$ for all $\lambda \in (-\lambda_1, \lambda_1)$ and $u \in U_{\varepsilon_1}(\phi_\omega)$. Thus, by (3.13), we have

$$S_\omega(u + \lambda q(u)) \leq S_\omega(u) + \lambda P(u)$$

for all $\lambda \in (-\lambda_1, \lambda_1)$ and $u \in U_{\varepsilon_1}(\phi_\omega)$. \square

Lemma 12. *There exist constants $\varepsilon_2 \in (0, \varepsilon_1)$ and $\lambda_2 \in (0, \lambda_1)$ that satisfy the following. For any $u \in U_{\varepsilon_2}(\phi_\omega)$, there exists $\Lambda(u) \in (-\lambda_2, \lambda_2)$ such that*

$$K_\omega(u + \Lambda(u)q(u)) = 0, \quad u + \Lambda(u)q(u) \neq 0.$$

Proof. First, since $\langle S''_\omega(\phi_\omega)\psi, \psi \rangle < 0$, by Lemma 4, we have $\langle K'_\omega(\phi_\omega), \psi \rangle \neq 0$. Thus, without loss of generality, we may assume that $\langle K'_\omega(\phi_\omega), \psi \rangle > 0$.

For $u \in U_{\varepsilon_0}(\phi_\omega)$ and $\lambda \in \mathbb{R}$, we have

$$(3.14) \quad K_\omega(u + \lambda q(u)) = K_\omega(u) + \lambda \int_0^1 \langle K'_\omega(u + s\lambda q(u)), q(u) \rangle ds.$$

Since $\langle K'_\omega(\phi_\omega), q(\phi_\omega) \rangle = \langle K'_\omega(\phi_\omega), \psi \rangle > 0$, by the continuity of the function $\langle K'_\omega(u + \lambda q(u)), q(u) \rangle$ with respect to λ and u , there exist constants $\lambda_2 \in (0, \lambda_1)$ and $\varepsilon_2 \in (0, \varepsilon_1)$ such that

$$(3.15) \quad \langle K'_\omega(u + \lambda q(u)), q(u) \rangle \geq \frac{1}{2} \langle K'_\omega(\phi_\omega), \psi \rangle$$

for all $\lambda \in [-\lambda_2, \lambda_2]$ and $u \in U_{\varepsilon_2}(\phi_\omega)$. Moreover, since $K_\omega(\phi_\omega) = 0$, taking ε_2 smaller if necessary, we have

$$(3.16) \quad |K_\omega(u)| < \frac{\lambda_2}{2} \langle K'_\omega(\phi_\omega), \psi \rangle, \quad u \in U_{\varepsilon_2}(\phi_\omega).$$

Let $u \in U_{\varepsilon_2}(\phi_\omega)$. If $K_\omega(u) < 0$, then it follows from (3.14)–(3.16) that

$$\begin{aligned} K_\omega(u + \lambda_2 q(u)) &= K_\omega(u) + \lambda_2 \int_0^1 \langle K'_\omega(u + s\lambda_2 q(u)), q(u) \rangle ds \\ &> -\frac{\lambda_2}{2} \langle K'_\omega(\phi_\omega), \psi \rangle + \frac{\lambda_2}{2} \langle K'_\omega(\phi_\omega), \psi \rangle = 0. \end{aligned}$$

Since the function $\lambda \mapsto K_\omega(u + \lambda q(u))$ is continuous, there exists $\Lambda(u) \in (0, \lambda_2)$ such that

$$(3.17) \quad K_\omega(u + \Lambda(u)q(u)) = 0.$$

Similarly, if $K_\omega(u) > 0$, then we have

$$\begin{aligned} K_\omega(u - \lambda_2 q(u)) &= K_\omega(u) - \lambda_2 \int_0^1 \langle K'_\omega(u - s\lambda_2 q(u)), q(u) \rangle ds \\ &< \frac{\lambda_2}{2} \langle K'_\omega(\phi_\omega), \psi \rangle - \frac{\lambda_2}{2} \langle K'_\omega(\phi_\omega), \psi \rangle = 0. \end{aligned}$$

Thus, there exists $\Lambda(u) \in (-\lambda_2, 0)$ such that (3.17). If $K_\omega(u) = 0$, taking $\Lambda(u) = 0$, (3.17) is satisfied.

Finally, by (3.9) and (3.11), taking λ_2 and ε_2 smaller if necessary, we have $u + \Lambda(u)q(u) \neq 0$ for all $u \in U_{\varepsilon_2}(\phi_\omega)$. This completes the proof. \square

Lemma 13. *Let λ_2 and ε_2 be the positive constants given in Lemma 12. Then,*

$$S_\omega(\phi_\omega) \leq S_\omega(u) + \lambda_2 |P(u)|$$

for all $u \in U_{\varepsilon_2}(\phi_\omega)$.

Proof. By Lemma 12, for any $u \in U_{\varepsilon_2}(\phi_\omega)$, there exists $\Lambda(u) \in (-\lambda_2, \lambda_2)$ such that $K_\omega(u + \Lambda(u)q(u)) = 0$ and $u + \Lambda(u)q(u) \neq 0$. Then, it follows from Lemma 3 that

$$(3.18) \quad S_\omega(\phi_\omega) \leq S_\omega(u + \Lambda(u)q(u)), \quad u \in U_{\varepsilon_2}(\phi_\omega).$$

Thus, by Lemma 11 and (3.18), for $u \in U_{\varepsilon_2}(\phi_\omega)$, we have

$$\begin{aligned} S_\omega(\phi_\omega) &\leq S_\omega(u + \Lambda(u)q(u)) \leq S_\omega(u) + \Lambda(u)P(u) \\ &\leq S_\omega(u) + |\Lambda(u)||P(u)| \leq S_\omega(u) + \lambda_2 |P(u)|. \end{aligned}$$

This completes the proof. \square

We are now in a position to give the Proof of Proposition 1.

Proof of Proposition 1. Suppose that $T(\omega t)\phi_\omega$ is stable. For λ close to 0, let $u_\lambda(t)$ be the solution of (1.1) with $u_\lambda(0) = \phi_\omega + \lambda\psi$. Since $T(\omega t)\phi_\omega$ is stable, there exists $\lambda_3 \in (0, \lambda_0)$ such that if $|\lambda| < \lambda_3$, then $u_\lambda(t) \in U_{\varepsilon_2}(\phi_\omega)$ for all $t \geq 0$. Moreover, by the definition (3.10) of A , there exists $C_1 > 0$ such that $|A(v)| \leq C_1$ for all $v \in U_{\varepsilon_2}(\phi_\omega)$.

Let $\lambda \in (-\lambda_3, 0) \cup (0, \lambda_3)$. Then, by Lemma 7, we have

$$\delta_\lambda := S_\omega(\phi_\omega) - S_\omega(u_\lambda(0)) > 0.$$

Moreover, by Lemma 13 and the conservation of S_ω , we have

$$0 < \delta_\lambda = S_\omega(\phi_\omega) - S_\omega(u_\lambda(t)) \leq \lambda_2 |P(u_\lambda(t))|, \quad t \geq 0.$$

Since $t \mapsto P(u_\lambda(t))$ is continuous, we see that either (i) $P(u_\lambda(t)) \geq \delta_\lambda/\lambda_2$ for all $t \geq 0$, or (ii) $P(u_\lambda(t)) \leq -\delta_\lambda/\lambda_2$ for all $t \geq 0$. Moreover, by Lemma 10, we have

$$\frac{d}{dt}A(u_\lambda(t)) = P(u_\lambda(t)), \quad t \geq 0.$$

Therefore, we see that $A(u_\lambda(t)) \rightarrow \infty$ as $t \rightarrow \infty$ for the case (i), and $A(u_\lambda(t)) \rightarrow -\infty$ as $t \rightarrow \infty$ for the case (ii). This contradicts the fact that $|A(u_\lambda(t))| \leq C_1$ for all $t \geq 0$. Hence, $T(\omega t)\phi_\omega$ is unstable. \square

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